

NEBRASKA BOARD OF PUBLIC ACCOUNTANCY
140 N. 8th Street, Suite 290, Lincoln, Nebraska

AGENDA
March 22, 1999

Committee Meetings – Sunday, March 21, 1999

- 6:30 p.m. – Quality Enhancement Program (QEP) (Hoiekvam, Graf)
 - Enforcement of Professional Conduct (Klein, Aldy, Hunter, Kavich)
- 7:00 p.m. - Continuing Professional Education (Gaines, Graf, Hoiekvam)
- 8:30 p.m. - Examination & Licensing (Aldy, Gaines, Hunter, Klein)

Board Meeting – Monday, March 22, 1999

1. General – 8:30 a.m.
 - A. Call to Order and Roll Call by Chair, Kathleen Smith
 - B. Approval of meeting agenda for March 22, 1999
 - C. Approval of minutes for January 7-8, 1999 meeting
 - D. Public Comment Period
 2. Consent Agenda
 - A. Published notice of meeting
 - B. Review of Board Budget Status Report
 - C. Approval of December 1998, January and February 1999 disbursements
 - D. Requests for Acceptance of Voluntarily Surrendered CPA Certificates
 - E. Licensure Report
 3. Report of Continuing Education Committee (Bill Gaines, Chair)
 - A. Ratification of staff program evaluations since last meeting
 - B. Report on Deficiencies for Renewal 7/1/99
 4. Formal Hearing for Reinstatement of Revoked CPA Certificate – 8:45 a.m.

Applicant: Richard D. Bergsten
 5. Formal Hearing on Complaint #98-09: Lee A. Johnson – 9:00 a.m.

Board Counsel: Robert T. Gritmit
Hearing Officer: Kent Radke
Court Reporter: J.S. Wurm & Associates
- Closed Meeting (Conducted relative to enforcement/personnel issues)
6. Report of Enforcement of Professional Conduct Committee (George Klein, Chair)
 - A. Complaint Consent Agenda
 1. Complaint Status Log
 2. 99-01 Allegation of Money Laundering Operation
 3. 99-02 Withholding Client Records
 - B. 97-27 Les Wilhelm
 - C. 99-03 Request for Reinstatement of Active Permit; Referral from Board's Examination & Licensing Committee

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- D. 99-04 Withholding of Client Records by Attorney/CPA Certificate Holder
- E. 99-05 Holding out as CPA by unlicensed individual
- F. 99-06 Certificate holder issuing compilation statement with budget report for political subdivision while Inactive
- G. 98-17 Improper Use of CPA Designation by Limited Liability Company
- H. 98-05 Violation of Standards in Performance of Audit
- I. 98-06 Violation of Standards in Performance of Audit
- J. Request for Declaratory Order

7. Executive Reports

- A. Report from Executive Director
- B. Report from Treasurer
- C. Report from Chairman of the Board
 - 1. Executive Committee Meetings
 - 2. Letter from State Auditor

8. Report of Examination and Licensing Committee (Luke Aldy, Chair)

- A. Ratification of initial permits to practice issued; certificates issued
- B. Review & Approval of 1999 Permit Renewal Applications
- C. Approval of AICPA as vendor for May 1999 CPA Examination
- D. Applications to Reinstate Active Permits

9. Legislation and Rules Committee (Kathy Smith, Chair)

- A. Proposed Legislation 1999 – Bill Update
- B. Proposed Rules
- C. Joint Task Force Update

10. Quality Enhancement Program (QEP) (Dick Hoiekvam, Chair)

- A. Failure to Respond to Board Request for Reports

11. New Business

- A. Future meeting dates
- B. Review Travel Policies
- C. NASBA
 - 1. Report on Administrator's Conference
 - 2. Focus Questions
 - 3. Nomination for Vice Chair
 - 4. Bylaws
 - 5. Committees
 - 6. Letters from State Boards
 - 7. Public Perception Poll
- D. In the News

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12. Adjournment

Board Calendar:

1999

March 22	Board Meeting, Lincoln, Nebraska
March 28-30	NASBA CPE Conference, Las Vegas, NV
March 31	Deadline for Applications for May 1999 CPA Exam
April 30	Holiday – Arbor Day – Office Closed
May 5-6	CPA Exam, Pershing Auditorium, Lincoln, Nebraska
May 20-21	Board Meeting, Lincoln, Nebraska
May 31	Holiday – Memorial Day – Closed
June 13-16	NASBA Regional Meeting, Minneapolis, MN
June 30	1998-1999 Licenses EXPIRE! Firm Permit Renewal and Office Regs. DUE!
July 5	Holiday – Fourth of July Celebrated – Office Closed
July 22-23	Board Meeting & Retreat, Chadron, Nebraska
August 2	Uniform Grade Release Date for May 1999 CPA Exam Scores
September 6	Holiday – Labor Day – Office Closed
September 30	Deadline for Applications for November 1999 CPA Exam
October 11	Holiday – Columbus Day – Office Closed
October 13-16	NASBA Annual Meeting, Nashville, TN
November 3-4	CPA Exam, AKSARBEN, Omaha, Nebraska
November 11	Holiday – Veteran’s Day – Office Closed
November 25-26	Holiday – Thanksgiving – Office Closed
December 24	Holiday – Christmas Celebrated – Office Closed
December 31	Holiday – New Year’s Day Celebrated – Office Closed
December 31	QEP Reports Due

2000

January 17	Holiday – Martin Luther King, Jr.’s Birthday – Office Closed
January 30	CPE Reports of Attendance for 1999 are DUE
February 21	Holiday – President’s Day – Office Closed
March 31	Deadline for Applications for May 2000 CPA Exam
April 28	Holiday – Arbor Day – Office Closed
May 3-4	CPA Exam, Location TBA
May 29	Holiday – Memorial Day – Office Closed
June	NASBA Regional Meeting,
June 30	1998-2000 Licenses EXPIRE! 1999-2000 FIRM PERMITS EXPIRE! Firm Permit Renewal and Office Registration DUE!
July 4	Holiday – Office Closed
September 4	Holiday – Labor Day – Office Closed
September 17-20	NASBA Annual Meeting, Boston, MA
September 30	Deadline for Applications for November 2000 CPA Exam

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October 9	Holiday – Columbus Day – Office Closed
November 1-2	CPA Exam, Location TBA
November 10	Holiday – Veteran’s Day – Office Closed
November 23-24	Holiday – Thanksgiving – Office Closed
December 25	Holiday – Christmas – Office Closed
December 31	QEP Reports Due